

# Applying Evidence-Centered Design for the Development of Game-Based Assessments in Physics Playground

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Game-based assessment (GBA) is a specific use of educational games that employs game activities to elicit evidence for educationally valuable skills and knowledge. While this approach can provide individualized and diagnostic information about students, the design and development of assessment mechanics for a GBA is a nontrivial task. In this article, we describe the 10-step procedure that the design team of *Physics Playground* (formerly known as *Newton's Playground*) has established by adapting evidence-centered design to address unique challenges of GBA. The scaling method used for Physics Playground was Bayesian networks; thus this article describes specific actions taken for the iterative process of constructing and revising Bayesian networks in the context of the game Physics Playground.

Keywords: Bayesian networks, evidence-centered design, game-based assessment, stealth assessment

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## INTRODUCTION

Recently, interest in using digital games to assess and support learning has increased (Baker & Delacruz, 2008; Behrens et al., 2010; Mislevy et al., 2014; Shute et al., 2009). Game-based assessment (GBA) uses game activities as tasks to elicit evidence for complex skills. Advocates of GBA (e.g., DiCerbo, 2014; Mislevy et al., 2012; Shute, 2011) list several advantages of GBA. First, digital games can provide complex, authentic tasks based on modern theories of learning and cognition. Second, games require constant interaction between the player and the game yielding copious data that can be used to build a comprehensive student model. Finally, because of the engaging nature of games, GBA can avoid possible "text anxiety" that leads to underperformance of learners, which in return can increase assessment accuracy (Sundre & Wise, 2003).

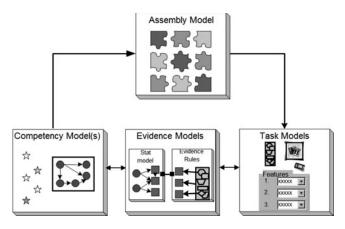
Developing GBA can be challenging (Almond et al., 2014; DiCerbo, 2014; Mislevy et al., 2012; Zapata-Rivera & Bauer, 2012) because game mechanics must be aligned with learning and assessment (Habgood & Ainsworth, 2011). Furthermore, many game tasks require multiple competencies for successful execution, and untangling the contributions of the multiple competencies can be quite difficult. Evidence-centered assessment design (ECD; Mislevy, Steinberg, & Almond, 2003) provides a language for expressing the relationship between multiple aspects of proficiency and performance, and stealth assessment (Shute, 2011) extends ECD to game-based assessment. Bayesian networks, with their graphical representation of probability distributions, provide a convenient representation for building scoring engines that untangle multivariate relationships.

This article describes a development process for game-based assessment, with emphasis on the phase of constructing assessment machinery using Bayes networks. We first briefly describe ECD in the context of GBA, and then review how Bayesian networks have been used in educational assessment. The 10-step framework presented in this article describes procedure used to build the assessment machineries and tasks undergirding *Physics Playground* (formerly called *Newton's Playground*, see Shute & Ventura, 2013).

## BACKGROUND

#### Application of ECD in GBA

ECD lays out the structures of assessment as an evidentiary argument that connects what students do, say, or create in given contexts with general skills and knowledge (Mislevy et al., 2003). The ECD process addresses a series of questions that should be asked in any assessment design; *what, where, and how are we measuring, and how much do we need to measure*. The answers to these questions are recorded in several design objects called competency, evidence, task, and assembly models;



**FIGURE 1** 

Four focal models of Evidence-Centered Design (Mislevy, Almond, & Lukas, 2003).

the collection of all models used for a particular assessment is called the conceptual assessment framework (CAF; Figure 1). These models then guide the development of the operational machinery of assessment, such as tasks, rubrics, and statistical models (Almond et al., 2015; Mislevy et al., 2003).

*Competency Models: What Are We Measuring?*. A competency model (CM) reflects the claims (stars in Figure 1) that the assessor wishes to make about students at the end of the assessment. The claims are used to define CM variables, which describe aspects of skills, knowledge, traits, and attitudes of interest. The distribution of the CM variables in the target population is described with a statistical model. ECD explicitly allows multidimensional CMs, and Bayesian networks provide graphical language for expressing multidimensional relationships (Almond et al., 2015).

*Evidence Models: How Do We Measure the Competencies?*. A student interacting with assessment tasks produces *work products*. In GBA, the work product typically consists of a transcript of player activities (typically captured in a log file) and the state of the system at the end of a task. An evidence model (EM) provides rules for updating the CM given the work project. An EM has two parts: the evidence rules and the statistical model. The *evidence rules* (the right side of the EM in Figure 1) define the *observables*: salient features of the work product that provide evidence rules are often expressed in computer code (e.g., Did the player successfully complete a game level? Did the player use a particular tool in the solution of a problem?). The statistical model describes relationships

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between the competency variables and the observables, particularly expressing the probability that a student with a given profile will produce a particular value for each observable variable. Almond and Mislevy (1999) suggested expressing evidence models as Bayesian network fragments that are attached to the CM Bayesian network during scoring.

Task Models: Where Do We Measure the Competencies? A task is a unit of activity that is attempted by the student which produces a work product. A task can be as simple as a multiple choice question, but ECD encourages assessment designers to think about more complex performance tasks as well. In GBA, a task is a self-contained unit of game play. In some games, game play is naturally divided into *levels*, and tasks correspond to the game levels. In other cases, a task may be a segment of a more complex interaction, and defining the task boundary can be challenging (Mislevy et al., 2012). A task model (TM) describes a family of related tasks (often all of which are variations on a single prototype), and task model variables express features of a task that can be manipulated to both create variants and change the evidentiary strength or focus (Almond et al., 2014).

Assembly Model: How Much Do We Need to Measure?. An assembly model (AM) specifies how the CMs, EMs, and TMs work together to generate sufficient evidence to form a valid assessment (Almond et al., 2015). In particular, the AM specifies how much evidence is required about the value of each competency variable. The AM ensures comparability between multiple possible forms, particularly in applications such as computer adaptive testing where each student receives a unique form (Mislevy & Levy, 2006). Similarly, addressing this comparability issue in GBA can be challenging because players have more freedom in games compared to conventional assessment, and their choices in the game can lead to variations in the focus, scope, and strength of the evidence (Kim, 2014; Mislevy et al., 2012).

The CM, EMs, and AM together make up the measurement model of the assessment. To build a scoring engine, test designers must construct a mathematical realization of the CM and an EM for each task that might be selected by the AM. This article looks at one possible realization of those models using Bayesian networks. Note that a complete CAF looks at two additional models: presentation and delivery system models. The presentation model describes how tasks are rendered on different platforms (e.g., desktop computer vs. smart phone). The delivery system model describes other factors related to the assessment environment, including eligibility to participate in the assessment. In the classroom use of GBA, instructions given by the teacher could influence how students interact with the game (Slota, 2014). For example, demonstrating how simple machines work in the classroom before the students play *Physics Playground*  as part of curriculum helps focus the students' gameplay on creating simple machines.

#### **Bayesian Networks in Educational Assessment**

Bayesian networks (or Bayes nets) provide a graphical notation for describing complex, multivariate probability models (Pearl, 1988; Almond et al., 2015). In a Bayes net, variables separated in the graph are conditionally independent. Exploiting these independence relationships produces efficient algorithms for calculating probabilities; in particular, the conditional probability that a student has a given competency profile, given a pattern of evidence collected about that student. Modeling both competency and observable outcome variables as discrete allows the use of commercially supported software such as Netica (Norsys, 2012) to score the assessment.

Constructing a Bayes net involves two main steps. First, psychometricians working with domain experts define the targeted competency and observable variables, and then draw an acyclic directed graph that captures the important conditional independence relationships. Second, for each variable in the model, the design team specifies the conditional probability of that variable given its parents (i.e., nodes from which an arrow extends connecting them to the child node) in the graph. In the case of a discrete Bayes net (one in which all variables are discrete), this takes the form of a conditional probability table (CPT) that gives the probability of each state of the child variable given each possible configuration of parents in the graph. As these tables can be quite large (they grow exponentially with the number of parent variables), psychometricians often use a parametric representation for the tables (Almond et al., 2001, 2015). Almond (2015) described a class of models for CPTs based on multivariate item response theory (IRT) models, for which the designers must choose a combination function (e.g., compensatory or conjunctive) and a link function (e.g., graded response or partial credit). In Step 4 of our process, the designers picked both a parameterization (e.g., compensatory or conjunctive) and a set of parameters (difficulty and discrimination) for each observable. (Note that in a Bayes net, the parameterization decision is made at the node level and not the assessment level.)

Generally, there are two approaches to specifying parameters. The first is to directly specify them. The resulting Bayes net can then immediately be used to score the assessment. The Bayes net using "expert numbers" often has a reliability and validity comparable to (or possibly better than) a number right assessment (Shute, Hansen, & Almond, 2008), because thinking about the evidence required to build the Bayes net may lead to better task designs. The second approach is to use the expert numbers as prior distributions for the CPTs (Almond, 2015). This still allows the Bayes net to be used immediately for scoring, but also allows the CPTs to be updated with data from field studies.

## Physics Playground

*Physics Playground (PP)* is a two-dimensional physics game developed to assess players' qualitative understanding of Newton's three laws of physics, and also their persistence. The core mechanic of *PP* is that the player draws physical objects on the screen that "come to life" following the basic laws of physics, and are intended to move a ball to a target (i.e., balloon on the screen). Particular objects are called *agents of motion*—simple machine-like objects that execute force to the ball thus causing the ball to move. The game levels focus on four primary agents of motion: ramp, lever, pendulum, and springboard. Therefore, when players draw objects that represent agents of motion, they provide evidence relative to their understanding of particular aspects of qualitative physics.

*PP* stores a complete transcript of players' activities during each game level. This is the work product for the game-level, which is the ECD task. The game engine contains rules of evidence; that is, code that identifies which agents of motion were used in each step and code that calculates whether the player's solution uses a certain number of objects qualifying for a gold or silver trophy (see Shute and Ventura, 2013 for detailed information about the game engine). A gold trophy indicates an "elegant" solution (i.e., one with a limited number of objects, in most cases less than three objects), while a silver trophy simply means that the player has solved the problem, using more than three objects.

In a field study with 167 middle school participants (grades 8–9), Shute and colleagues (2013) investigated whether stealth assessment in *PP* satisfied psychometric criteria. Relevant in-game performance measures in *PP* (e.g., the number of silver and gold trophies obtained) significantly correlated with external measures of physics understanding, suggesting construct validity of *PP*. In particular, the number of gold trophies per agent was significantly correlated with the external physics test scores (ramp r = 0.27, lever r = 0.22, pendulum r = 0.31, springboard r = 0.40, p < 0.01).

## THE 10-STEP PROCEDURE OF BUILDING BAYES NETS IN GBA

ECD as described in Mislevy and colleagues (2003) is a generic procedure for developing assessments. In any particular assessment design process, various parts of the framework may take on more or less importance. In GBA, the task must prompt the student to produce a work product that will provide evidence for one or more relevant competencies. This can be more complex in GBA because the task must also be aligned with the core mechanics of the gameplay (Mislevy et al., 2012). Mislevy and associates (2014) proposed an extension called evidence-centered game design (ECgD) to align the game and the evidentiary reasoning

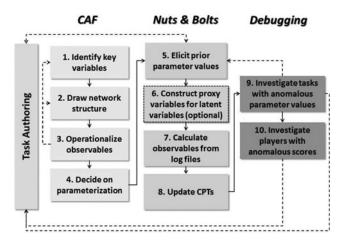


FIGURE 2 10-step procedure of building Bayesian nets in game-based assessment.

mechanisms in GBA. Similar to ECgD, the 10-step procedure we describe here allows game and assessment designers and developers to iteratively design, develop, and revise game-based assessment systems and revisit with particular emphasis on constructing Bayes nets.

Figure 2 provides an overview of the ten steps that we established and applied in the development of *PP*. This 10-step procedure can be applied for any game-based assessment using Bayes nets as the scoring engine. These steps are organized into four distinct phases: (a) designing levels in *PP* (i.e., Task Authoring), (b) creating assessment models (i.e., the CAF), (c) putting all assessment models together using Bayes nets as assessment machinery (i.e., Nuts & Bolts), and (d) evaluating and refining the assessment models (i.e., Debugging). Although Figure 2 suggests a linear flow through the phases, in its actual implementation the process should be iterative, as indicated by the dashed arrows. That is, problems that may show up in later steps often require revisiting design decisions made earlier. The following sections describe each step, with particular emphasis on those steps necessary to build the Bayesian networks used to score *PP*.

#### Step 1: Identify keyvariables

First, the design team must (a) determine the goals and purposes of the assessment, (b) define the competencies of interest relative to the assessment, (c) describe the relationship(s) among each competency variable and the range of the competency levels that can be measured in the game, and (d) identify in-game observables that can provide evidence for one or more competencies. This step produces "working definitions," which will be revised as later steps in the process reveal limits of what can be observed in the game.

The nature of this first step depends on whether the game uses new mechanics or adapts an existing game. As PP was partially based on an existing game (i.e., Crayon Physics Deluxe), the design team spent a considerable amount of time during this step playing and analyzing various solutions to Crayon Physics game levels. During this time, we noted how different game levels could be solved with different agents of motion. We also noticed that the Crayon Physics game engine treated the mass of an object as simplistically related to its volume (area). Because confusing mass and volume is a common misunderstanding in qualitative physics, we realized the need to create a different mechanism for expressing the mass of an object (density of lines). Shute and Ventura (2013) provided more detailed information about this adaptation. Additionally, activities in this phase include general activities of the domain analysis phase of ECD (Mislevy et al., 2003) such as cognitive task analysis, literature review, and subject-matter expert interviews (both game and domain experts). For PP, the design team consulted with two physics experts who reviewed gameplay and identified physics principles needed to solve problems in the game.

It is important during this first step to start drawing graphical models that represent the relationships among competency variables and between competency and observable variables using simple drawing tools (e.g., white boards, presentation software). As the authoring of game levels preceded, an augmented Q-matrix (Figure 3) was used to track the progress. The augmented Q-matrix documents the relationship between observable variables associated with tasks and competency variables (the traditional role of the Q-matrix; Tatsuoka, 1983). It also has additional columns that capture detailed information about tasks (e.g., game level difficulty, task ID) that later experts used for task-specific parameters. Note that the Q-matrix combines information from the evidence models (which proficiencies are tapped) and the assembly model (how many tasks are there of each type). We also used it to check that we had sufficient evidence for each proficiency variable (see Almond et al., 2015).

#### Step 2: Draw Network Structure

Based on the artifacts from Step 1, the design team decides the *structure* of the Bayesian network. The complete Bayes net, sometimes called a *motif* (Almond et al., 2015), contains all of the competency variables and all of the observables from every task. To make the construction task more manageable, the motif is broken into a core competency model—which represents the distribution of the competency profiles in the target population—and a collection of Bayes net fragments called *links*—one for each task. Note that the graphical structure for task-related links from a common TM is usually the same, so the graphical structure for a link

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Task ID	TaskModel	Persistence	Perfectionis		Conservation of angular momentum	Difficulty	Game Difficulty	Task ID	Observable ID
	Spider web					-	Easy		
spiderweb	Number of solutions per problem	0	0	C		0 Easy		spiderweb	flu_1
spiderweb	Number of drawn objects per solved problem	0	0	C		0 Easy		spiderweb	flu_2
spiderweb	Number of drawn objects per unsolved problem	0	0	C	)	0 Easy		spiderweb	flu_3
spiderweb	Number of drawn objets for 1st attempt	0	0	C		0 Easy		spiderweb	flu_4
spiderweb	Number of drawn objets for across all attempts (except 1	0	0	C		0 Easy		spiderweb	flu_5
spiderweb	Number of different agents used per problem	0	0	C		0 Easy		spiderweb	flex_1
spiderweb	Number of agents tried before first solution	0	0	C		0 Easy		spiderweb	flex_2
spiderweb	Number of agents tried after first solution	0	0	C		0 Easy		spiderweb	flex_3
spiderweb	Time spent repeating unsuccessful agents in problems [R	0	0	C		0 Easy		spiderweb	flex_5
spiderweb	Number of novel agents per solution (relative to others)	0	0	C		0 Easy		spiderweb	orig_1
spiderweb	Uncommon trajectory of ball per solution (relative to oth	1 0	0	C		0 Easy		spiderweb	orig_2
spiderweb	Time spent on a solved problem (by difficulty)	+	0	C		0 Hard		spiderweb	persis_1
spiderweb	Time spent on an unsolved problem (by difficulty	+	0	C		0 Hard		spiderweb	persis_2
spiderweb	Number of re-starts for solved problem (by difficulty)	+	0	C		0 Hard		spiderweb	persis_3
spiderweb	Number of re-starts for unsolved problem (by difficulty)	+	0	C		0 Hard		spiderweb	persis_4
spiderweb	Number of objects used to solve a problem [R]	0	+	C		0 Easy		spiderweb	perf_1
spiderweb	Number of agents used after first solution	0	+	0		0 Easy		spiderweb	perf_2
spiderweb	Time spent on problem after first solution	0	+	C	)	0 Easy		spiderweb	perf_3
spiderweb	Number of nudges used in problem solution [R]	0	+	C		0 Easy		spiderweb	perf_4
spiderweb	Spring board	0	0	+	+	Easy		spiderweb	physics1
spiderweb	Ramp	0	0	+		0 Easy		spiderweb	physics2
spiderweb	Lever	0	0	+		0 Easy		spiderweb	physics3
spiderweb	Pendulum	0	0	C	) +	Easy		spiderweb	physics4
spiderweb	Spring boardF	0	0	+	+	Medium		spiderweb	physics5
spiderweb	RampF	0	0	C		0.		spiderweb	physics6
spiderweb	LeverF	0	0	+		0 Easy		spiderweb	physics7
spiderweb	PendulumF	0	0	C	) +	Easy		spiderweb	physics8

FIGURE 3 An augmented Q-matrix.

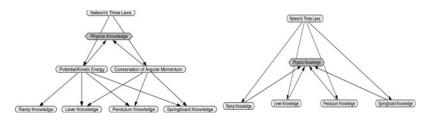


FIGURE 4 Two versions of the physics competency model in *PP*.

is associated with its EM. The Bayes net fragment for a link generally has different values for the CPTs but the same graphical structure as the fragment associated with the EM. Therefore, at this step, Bayes net fragments are only needed for the CM and each unique EM.

Because all of the tasks in *PP* shared the same work product (i.e., objects drawn by the player) and hence the same observables in PP, only a single EM structure was needed. We built the Bayes nets and fragments using both the Netica (Norsys, 2012) graphical user interface and RNetica (Almond, 2013), an interface for driving Netica from R (R Core Development Team, 2013). RNetica could read details about the game level from the augmented *Q*-matrix, calculate conditional probability tables appropriate to the link, and convert the generic EM fragment to a task-specific link. It could also adjoin the fragment with the CM to create a one-task motif for validating the links.

The initial network structure will likely be revised (usually simplified) as the design process proceeds. Figure 4 shows two versions of the physics competency model for PP. The model on the left is the initial model. The subject-matter expert suggested two facets of understanding of Newton's laws: one for problems using linear momentum and one for problems using angular momentum. This results in a mezzanine layer between the overall proficiency (Newton's Laws) and the four nodes representing the four agents of motion. The final version (shown on the right) removed the mezzanine layer for two reasons. First, there were no direct observables for the two variables at this layer, and the strengths of relationships between variables that cannot be directly measured are difficult to estimate (Almond, Yan, & Hemat, 2008). Second, the distinction between the two was only that levels involving ramp solutions can be solved without knowledge of angular momentum, and there were not enough levels involving only ramps to provide good evidence of the distinction between knowledge of linear and angular momentum. It is quite common for the CM to be simplified as the development process unfolds, as the domain experts will often identify more subtle distinctions of knowledge than the assessment can meaningfully separate.

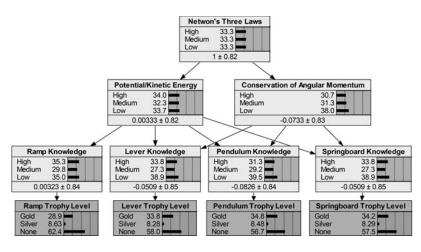


FIGURE 5 Physics MOTIF with prior conditional distributions in PP.

The highest level node, Newton's Three Laws, serves two purposes. First, it models the dependency among knowledge of the four agents of motion. Second, it potentially can serve as an overall score for reporting. Once again, there are no observables that attach directly to that node. Almond and colleagues (2008) noted that this can cause issues with model identification and parameter recovery in simulation experiments. Following their suggestion, we introduce the Physics Knowledge node, shown as a pink hexagon (utility node), which is a fixed composite (i.e., a weighted average with predefined weights) of the four agent variables, used for reporting purposes.

The construction of the EM fragment also required several rounds of simplification. The final model had four observable variables, one for each agent of motion. These could take on the values of Gold (player created an elegant/efficient solution), Silver (player eventually solved the level, but not efficiently), and None (player did not solve the level). Depending on which agent the player used to solve the problem, the appropriate observable variable would be set, and the others would be unobserved. Figure 5 shows the complete motif for the CM and EM. The upper seven (beige) nodes are from the CM and the four lower (green) nodes are from the EM.

#### Step 3: Create Operational Definitions for Observable Variables

The main source of evidence in GBA is the players' interactions with the game that are saved in log files. In *PP*, the log file contains a description of all objects drawn by the player such as time needed to draw object, size, shape, and location,

as well as information about the level as a whole (e.g., whether a gold or silver trophy was earned, the duration of time spent on the level, and how many times the player restarted the level). Much of this information is low level, and higher level summaries were needed to enter into the Bayes net observables. In particular, the design team early on identified the most applicable agent(s) of motion, per level, to solve the level. This required identifying whether an object the player had drawn on the screen was intended to be a ramp, lever, springboard, pendulum, or something else. To this end, the design team of *PP* needed to develop an identification system based on specific rules of evidence that could distinguish among the four agents (Shute et al., 2013 describe the agent identification system). Deciding an appropriate level of indicators is an important issue that the design team carefully needs to decide. While low-level features can be directly used, it is often more efficient to create high-level summary indicators to (1) generate scores that can be more human interpretable and (2) reduce complexity of the BNs.

Building such system was possible because of the built-in physics engine of the game, Box2D (Catto, 2013). Because the agent identification system relied on much of the same code as the game engine, it was implemented as part of the game mechanism, and the log file format was augmented to include the output of the agent identification system.

#### Step 4: Parameterization of Conditional Probability Tables

While experts could provide priors for conditional probability tables (CPTs) for each link for each game level, it can be a daunting task because it requires experts to consider a large number of configurations (Almond, 2010). To make this task manageable, design patterns (Mislevy et al., 2003) can be used to select parameterizations and experts' judgments on task difficulty and discrimination to set the initial parameter values. The CPTs for most observables can use the conjunctive, disjunctive, or compensatory design patterns (Almond et al., 2001, 2015). This information is recorded in the augmented *Q*-matrix created in Step 1 and completed during this step.

As mentioned earlier, *PP* awards a silver trophy for any solution to a level in the game, but only awards a gold trophy for an efficient solution that only uses a few objects. Therefore, the observables are naturally ordered categorical variables (with categories of gold, silver, and none). There are two commonly used models for ordered categories: the graded response model (Samejima, 1997) and the generalized partial credit model (GPCM, Muraki, 1992). While both of these are IRT models and assume a single continuous competency variable, Almond and colleagues (2001) adapted the graded response model for multiple discrete competency variables using the common design patterns.

Although design patterns based on the graded response model have been shown to work well in other applications (e.g., ACED—Shute, Hansen, & Almond, 2008),

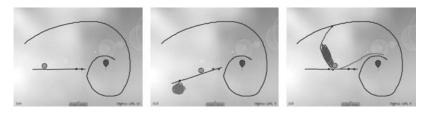


FIGURE 6 Roller Coaster and two possible solutions.

they did not work well in *PP*. For some levels, players could stumble on a solution by randomly drawing things. In these levels, the distinction between the gold and silver categories provided more evidence (i.e., showed higher discrimination) than the distinction between silver and none. Other game levels were so difficult that only a few players achieved a gold trophy. In those levels, the distinction between silver and none provided the most evidence. The graded response model was limited in that the discrimination had to be the same for each level of the observable variable. The generalized partial credit model provided more flexibility, so we adapted the models of Almond et al. (2001) to use the partial credit rather than the graded response model (Almond et al., 2013; Almond, 2015). This new approach required four parameter values from the expert: difficulty for silver, difficulty for gold, discrimination for silver, and discrimination for gold for each agent of motion per level.

#### Step 5: Elicit Prior Values

*PP* consisted of 74 different levels, each of which possessed four observable outcome variables (corresponding to the four agents of motion). For each outcome variable, a game designer assigned four values: two difficulty values ranging between -5 and 5 for the gold and silver trophies for that level, and two discrimination values ranging between 0 and 2. We illustrate this process using Roller Coaster as an example (Figure 6).

Roller Coaster is designed to have a medium-level difficulty, and the intention is for players to use a springboard solution, which is already present in the level (i.e., the red bar hanging in the air), to propel the green ball to the balloon by attaching a weight to the springboard (see the picture in the middle panel of Figure 6). However, the design team noticed from a series of playtests that players could also use a pendulum to solve the problem (see panel on the right side of Figure 6). Table 1 reflects the design team's knowledge about this level regarding difficulty and discrimination power.

	Ramp		Lever		Pendulum		Springboard	
	S	G	S	G	S	G	S	G
Difficulty	3	4	3	4	1	2	1	2
Discrimination	0.1	0.4	0.3	0.6	0.5	0.9	0.5	0.8

TABLE 1 Experts' Estimates on Roller Coaster's Difficulty and Discrimination Parameters

S = Silver, G = Gold.

The first values represent difficulty for silver trophy and gold trophies, respectively. As ramp and lever solutions are rarely used for this level, the difficulty for silver and gold trophies for a ramp and lever solution were set high—as 3 and 4, respectively. Because pendulum and springboard solutions would be similarly simple for this level, the difficulty for pendulum and springboard were set as 1 and 2, respectively. Again, gold trophies are always harder to achieve than silver trophies. As ramp and lever trophies typically have less discrimination power than pendulum and springboard trophies, the values for them are relatively lower than the ones for pendulum and springboard.

Given these parameter estimates, we were able to calculate the CPTs for the four observable variables. From the graph in Figure 5, each of the observables has a single parent competency that can assume the values of Low, Medium, or High. These competencies were assigned values of -0.97, 0, and +0.97 (the 17th, 50th, and 83rd percentiles of a normal distribution). Plugging these values and the parameters from Table 1 into the GPCM equations (Muraki, 1992) yields the CPTs shown Table 2. We should emphasize that Table 2 shows experts' initial beliefs, and

	Ran	np	_	Lever				
	Gold	Silver	None		Gold	Silver	None	
High	0.001	0.002	0.997	High	0.001	0.002	0.997	
Medium	0.0005	0.0005	0.998	Medium	0.0005	0.0005	0.998	
Low	0.0005	0.0005	0.998	Low	0.0005	0.0005	0.998	
	Pendulum			Springboard				
	Gold	Silver	None		Gold	Silver	None	
High	0.050	0.121	0.828	High	0.044	0.106	0.850	
Medium	0.006	0.032	0.962	Medium	0.006	0.032	0.962	
Low	0.0006	0.008	0.992	Low	0.000	0.009	0.990	

TABLE 2 Prior CPTs for Each Observable Related to the Roller Coaster Level in the Game

the prior distribution should be further refined and updated as more observations are entered. We developed an R software package (CPTTools; Almond, 2013) that calculated the CPTs and then used RNetica to create both an EM fragment and a level-specific motif—the EM fragment connected to the CM Bayes net—which could be used to check if the parameters supplied by the game designer produced reasonable inferences. This process was repeated for all 74 game levels.

## Step 6: Construct Proxy Variables for Latent Variables (Optional)

Step 5 produced a complete set of Bayes nets (i.e., the CM and an EM fragment per level). This produces the *expert network*, which can now be used to score a player. The scores from the expert network are probably no worse than a simple count of game levels solved. However, one of the advantages of using a full Bayesian approach is that the prior opinions about the difficulty of the game levels can be combined with data from field testing to refine the model parameters.

Estimating the parameters of models with latent competency variables (of which Bayes nets are just one example) is tricky because the competency variables are never directly observed. Although it is possible to use various forms of the EM or MCMC algorithms, insufficient information about the latent variable can cause problems with convergence (Almond, Yan, & Hemat, 2008). A further complication in the case of *PP* was that we were using a new parameterization for the CPTs, so new software would be needed to estimate the parameters of the model (Almond, 2015).

To avoid some of these difficulties, we created proxy variables for the latent competencies from the pretest and posttest. As both the pretest and posttest were short, we combined the two tests and created four subscales focused on each of the agents of motion. As the four subscales were short, we shrank them toward each other using the procedure suggested by Wainer and colleagues (2001). As a first pass at determining difficulties, we then divided the subscale scores into High, Medium, and Low values by taking the one-third and two-third quantiles as the cut points. Using the proxy variables in place of the latent variables allowed us to use a simpler gradient descent algorithm in Step 8 rather than the more complicated EM algorithm.

A possible drawback of the proxy variable approach is that correlation between the Bayes net values and the pretest and posttest scores was one of the primary planned validity measures for *PP*. The use of the proxy variables in calibration (Step 8) would produce parameters that have maximum correlations. While the validity estimates could be positively biased, as the correlation between the Bayes net estimates and the pretest and posttest scores was fairly low, we thought that such an optimistic estimate would provide information about whether the problem was with the network parameters or the choice of observables. In particular, if

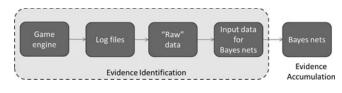


FIGURE 7 An illustration of evidence identification and accumulation in PP.

the correlation is still modest even with this optimistic calibration it indicates that more observable variables, or different tasks are needed to increase the evidence.

#### Step 7: Calculate Observable Variables from Game Log Files

According to the four-process architecture of Almond, Steinberg, and Mislevy (2002), the scoring of an assessment consists of two phases: evidence identification (EI)—calculating the observables from the work product, and evidence accumulation (EA)—updating competency variables on the basis of observed outcomes. In *PP*, the EA is a script running on top of the Bayesian networks written in RNetica and the presentation process is the game engine (Figure 7).

The EI was a script written in Perl that extracted a vector of features (e.g., {RampTrophy:None, LeverTrophy:None, SpringboardTrophy:Silver, PendulumTrophy:None}) for each game level. For instance, consider the game level Roller Coaster, described earlier. According to the EM, the EI process must (a) identify the final agent of motion used in the solution, and (b) determine whether or not the solution earned a silver or gold trophy. The EI process must also make one other determination: whether to consider each variable as "observed" or "missing." If the player solved the level, then the rule was to observe only the solution. For example, if the player solved the Roller Coaster level with a springboard, this provides minimal information about the player's ability to use ramps or pendulums. If the player does not solve the level, only the agents the designer thought applicable are considered as "observed." In the Roller Coaster level, only springboard and pendulum agents were considered to be applicable. If the player did *not* solve the level, SpringboardTrophy and PendulumTrophy would be considered observed (with value = None) and the other two observables would be considered missing.

Conceptually, this should all be handled with the EI process. However in practice, the boundaries of the EI process extend beyond the Perl script. As the rules for identifying agents required the physics engine, that part of the EI process was implemented within the game engine. As the rules for setting observables required level-specific data not in the log files, the logic of which observable to set to "missing" was handled by the RNetica code implementing the EA process. Much of the development of the EI process was done in a rapid prototyping mode. The game output would be sent through the Perl script and the output checked against human viewing of replays of the game levels. In later stages, the results would also be run through the Bayes nets to score the players involved in the field test. In several cases, this revealed problems with the definitions of some observables that needed to be resolved. Fortunately, both Perl and R support rapid prototyping.

## Step 8: Updating CPTs

We scored the field test data with the expert Bayes nets. For each student, we took the expected value of the highest level node (i.e., Newton's Three Laws), assigning a value of 2 to High and 1 to Medium. The correlation with this expected a posteriori score with the posttest was low (around 0.1), so we decided to improve the Bayes nets by calibrating the CPTs to the pilot test data.

If we assume that the parameters for each CPT are independent given the values of the variables, then the sufficient statistic for each CPT is the counts of observed cases in the cross-tabulation of the parent variables and the child variables (Spiegelhalter & Lauritzen, 1990). In each case, the parent variable is a latent competency instead of an observable variable. Therefore, the proxy variables constructed in Step 6 were used in place of the latent competencies. The parameters of the GPCM could be learned via a simple gradient descent algorithm implemented in the CPTTools package (Almond, 2015).

Note that the data for some tables was fairly sparse, as for many levels there was one or more agents which were rarely used in solutions. We guarded against this in two ways. First, if players never successfully solved the level with a particular agent, then the CPT for that table was left at the priors. Second, the prior CPT was multiplied by 10 to produce pseudo observations, which were then added to the data from the field test. This is similar to the sometimes used trick of adding half to all cells of a contingency table where some of the cells are zero. The weight of 10 was chosen as a good balance between letting the CPT for a level be influenced by a few observations and letting the CPT for a level with many observations be mainly data driven. Using this method, we were able to bring the correlation with the posttest up to around 0.36, closer to the correlation of the number of gold trophies with the posttest score.

The proxy variables are a less than ideal solution as we expect that the networks calibrated using them will have a higher correlation with the posttest scores than if we used only data from the game. A better way to calibrate the CPTs is to use an EM algorithm, which alternates between calculating expected values for cell counts (the sufficient statistics for the CPTs) and maximizing the parameter values (Spiegelhalter & Lauritzen, 1990). Netica implements this learning algorithm but only in the special case where the table is parameterized as a collection of

independent Dirichlet distributions (one for each row). This does not work well for educational measurement as often the data for some rows of the CPT is much sparser than for others (Almond et al., 2015). A more complete implementation of this EM algorithm is planned for later work.

## Step 9 and Step 10: Debugging

Complex systems, like GBAs, are rarely perfect on the initial implementation. Instead, they may contain flaws in conceptualization, specification, or implementation that must be uncovered and corrected. In GBAs, an additional problem occurs in that players can approach the game in a way that is quite different from the approach envisioned by the designers. Almond and colleagues (2013) described some of the debugging procedures used with *PP*. We briefly review them here.

First, completing Step 8 produced a pair of difficulty and discrimination parameters for each game level and agent. The first level of debugging was to look for excessively low and high difficulty or discrimination values. For cases with unusual parameter values, the next step was to look at the estimated CPTs and the counts of trophies by relevant skill. In some cases, there was no problem (i.e., the gradient descent algorithm sometimes converged to a point at the tail of the IRT curve but still produced reasonable CPTs). If the CPT looked strange as well, this prompted a review of the game level. In one case, we discovered that an observable was accidently reverse coded.

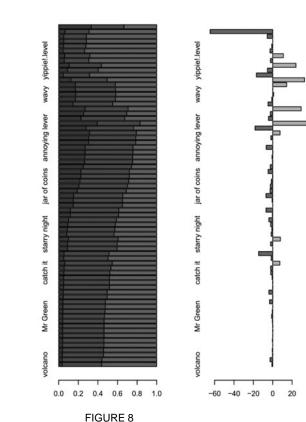
Second, we constructed evidence balance sheets (Almond et al., 2013; Madigan, Mosurski & Almond, 1997) for each student playing through the game. The evidence balance sheet is a graphical representation of how the probability of a high level of "Newton's Three Laws" changes as evidence from each game level arrives from the system (Figure 8). Sudden jumps in the probability—that is, levels with high weights of evidence—could indicate a possible problem. Viewing the replays for levels with high weights of evidence could reveal problems with a player's game strategy.

For instance, one approach taken by players in some anomalous levels was called stacking. In stacking solutions, players exploited a feature of the game that if a thin object/line was drawn under the ball, the ball would jump on top of it. While this allowed players to, say, draw the arm of a lever under the ball, it also allowed players to move the ball around the screen by stacking multiple objects under it. Using stacking, players were able to solve somewhat difficult levels without applying much knowledge of qualitative physics. The prevalence of stacking led us to revise both the game mechanics (putting limits on the number of objects that could be drawn) and the agent identification rules (to avoid classifying stacks as simple machines).

#### APPLICATION OF ECD FOR GAME-BASED ASSESSMENTS19

WOE for 1,2 vs 3

Probabilities



An example of balance sheet.

This example illustrates a larger conceptual problem in the way the field study was conceived and the game was framed. When the design team play tested the game under development, our focus was on particular agents of motion. Although there was no formal reward system, players would receive credit from their peers for solutions that used the agents of motion in creative and interesting ways. During the field test, however, the players were told that the player who earned the most trophies (with gold counting twice as much as silver) would receive an extra \$25 gift card. This encouraged the players to treat *PP* as a game, getting through the levels using any means possible. It is possible that with different framing, for example, allowing players to show off their best solution in front of the class, the players would focus more on physics and creativity.

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## DISCUSSION

While the 10-step procedure described here focuses on constructing Bayes nets, many of the steps are still applicable when different approaches (e.g., IRT) are used as a scoring engine. For example, if the score was simply a tally of the number of levels the player completed, Step 5 would still require the designers to decide if all levels should be weighted equally or if some should be given extra weight. However, because Bayes nets are so flexible, they did require the design team to spend more time on certain steps to explore alternative representations. In particular, the flexibility of Bayes nets to model dependent observables from a single game level was an important part of the early conceptualization of the game-based assessment, even though in later iterations much of the complexity was removed from the scoring model. Because of that flexibility, a more deliberate approach to design (in our case ECD; Almond et al., 2014; Mislevy et al., 2003, 2014) was necessary.

It is fairly simple to get a Bayes net model up and running quickly. This was especially true once the design team had built scripts using RNetica to convert the augmented *Q*-matrix into Bayes nets. One consequence of this building Bayes nets quickly meant that the design team could inspect and evaluate the amount of evidence from game levels under various circumstances and then adjust the game accordingly. This supports an iterative development of the game-based assessment. As demonstrated in Almond and colleagues (2013), focusing on evidence provided by the levels can also be used to help validate and debug the game. On the other hand, if a game-based assessment was intended for high-stakes purposes, a more careful definition of the latent ability nodes (and a better external test to serve as a proxy for the latent node) would be needed.

Bayes nets are not a panacea that allows any game to be converted into a gamebased assessment. While the Bayes nets can flexibly combine the evidence from multiple dependent observables, they do not help if the game does not provide adequate evidence. In particular, if the game mechanisms are not well aligned with the competencies being measured, or if unmodeled competencies are necessary to succeed at the game, the game might provide inadequate evidence. Putting the focus on the desired evidentiary information into the early stages of the design process should help designers create games that provide sufficient evidence to assess an interesting collection of proficiencies.

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