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## Investigating equations for measuring dissolved inorganic nutrient uptake in oligotrophic conditions

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## Abstract

Multiple different equations have been used to quantify nutrient uptake rates from stable isotope tracer label incorporation experiments. Each of these equations implicitly assumes an underlying model for phytoplankton nutrient uptake behavior within the incubation bottle and/or pelagic environment. However, the applicability of different equations remains in question and uncertainty arising from subjective choices of which equation to use is never reported. In this study, I use two approaches to investigate the conditions under which different nutrient uptake equations should be used. First, I utilized a moderate-complexity pelagic ecosystem model that explicitly models the  $\delta^{15}$ N values of all model compartments (NEMURO +  $^{15}$ N) to conduct simulated nitrate uptake and ammonium uptake incubations and quantify the accuracy of different nutrient uptake equations. Second, I used results of deckboard diel nutrient uptake experiments to quantify the biases of 24-h incubations relative to six consecutive 4-h incubations. Using both approaches, I found that equations that account for nutrient regeneration (i.e., isotope dilution) are more accurate than equations that do not, particularly when nutrient concentrations are low but uptake is relatively high. Furthermore, I find that if the goal is to estimate in situ uptake rates it is appropriate to use an in situ correction to standard equations. I also present complete equations for quantifying uncertainty in nutrient uptake experiments using each nutrient uptake equation and make all of these calculations available as Excel spreadsheets and Matlab scripts.

Numerous studies quantifying nitrate, ammonium, and phosphate uptake kinetics in the pelagic are motivated by the fact that low nutrient concentrations often limit phytoplankton growth in the vast oligotrophic regions of the ocean (Dugdale 1967; Mulholland and Lomas 2008). Such studies most frequently use uptake of stable isotope-labeled nutrient tracers (e.g.,  $^{15}NO_3^-$  or  $^{15}NH_4^+$ ) (Dugdale and Wilkerson 1986; Glibert et al. 2019). In such studies, the accumulation of heavy isotope label into particulate organic matter is quantified and uptake rates are typically determined using some version of the following equation:

$$\rho_0 = \frac{P}{T} \times \frac{I_{\rm P}(T) - I_{\rm P}(0)}{I_{\rm S}(0) - I_{\rm P}(0)}.$$
 (1)

In this equation (originally from Dugdale and Goering 1967),  $\rho_0$  is the computed nutrient uptake rate (typically units of  $\mu$ mol L<sup>-1</sup> h<sup>-1</sup>), *P* is particulate organic nitrogen (PON) concentration, *T* is the duration of the incubation,  $I_D(T)$  is the isotope ratio of the particulate nitrogen at the end of the incubation,  $I_{\rm S}(0)$  is the isotope ratio of the substrate pool at the beginning of the experiment, and  $I_{\rm P}(0)$  is the natural isotope ratio in the particulate pool at the beginning of the experiment (which most frequently is not measured and instead is assumed to be equal to the average isotopic ratio of phytoplankton). Most frequently, *P* is measured at the end of the experiment and  $I_{\rm S}(0)$  is calculated as:

$$I_{\rm S}(0) = \frac{I_{\rm spk}[N]_{\rm spk} + I_{\rm amb}[N]_{\rm amb}}{[N]_{\rm spk} + [N]_{\rm amb}},$$
(2)

where  $I_{\rm spk}$  and  $[N]_{\rm spk}$  are the isotope ratio and concentration of the <sup>15</sup>N-labeled nutrient spike and  $I_{\rm amb}$  and  $[N]_{\rm amb}$ are the natural isotope ratio of the nutrient pool and the ambient nutrient concentration, respectively (Table 1). However, modifications to this equation are sometimes made based on whether *P* is determined at the end of the incubation, beginning, or both, and whether or not the isotopic ratio of natural POM is determined (see Dugdale and Wilkerson 1986 for more details). Collos (1987) suggested that when more than one nutrient pool is available (e.g., NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>) only versions of Eq. 1 using the final

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Additional Supporting Information may be found in the online version of this article.

Та	b	le	1.	Parameter	definitions.
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Variable	Definition	Units
$\rho_0$	Calculated incubation nutrient uptake (Eq. 1)	nmol N $L^{-1}$ $h^{-1}$
$ ho_{kan}$	Calculated incubation nutrient uptake (Eq. 3)	nmol N $L^{-1}$ $h^{-1}$
$ ho_{\rm reg}$	Calculated incubation nutrient uptake (Eq. 13)	nmol N $L^{-1}$ $h^{-1}$
$ ho_{0,is}$	Calculated incubation nutrient uptake (Eq. 5)	nmol N $L^{-1}$ $h^{-1}$
$ ho_{kan,is}$	Calculated incubation nutrient uptake (Eq. 6)	nmol N $L^{-1}$ $h^{-1}$
$ ho_{\rm reg,is}$	Calculated incubation nutrient uptake (Eq. 14)	nmol N $L^{-1}$ $h^{-1}$
Т	Duration of incubation	h
Р	PON (final)	$\mu$ mol N L <sup>-1</sup>
$I_{\rm P}(T)$	lsotope ratio of PON (final)	Atom fraction
<i>I</i> <sub>P</sub> (0)	Isotope ratio of PON (initial)	Atom fraction
l <sub>spk</sub>	Isotope ratio of tracer spike	Atom fraction
I <sub>amb</sub>	Isotope ratio of ambient nutrient	Atom fraction
[N] <sub>spk</sub>	Final concentration of tracer	$\mu$ mol N L <sup>-1</sup>
[N] <sub>amb</sub>	Ambient nutrient concentration	$\mu$ mol N L <sup>-1</sup>
r	Rate of nutrient regeneration	nmol N $L^{-1}$ $h^{-1}$
а	Ratio of nutrient regeneration to uptake $(= r/\rho)$	Unitless
b	$\rho_0 \times T/([N]_{amb} + [N]_{spk})$	Unitless

PON concentration for *P* are accurate, thus I will use this form for future calculations of  $\rho_0$ .

While Eq. 1 is widely used, it assumes that the isotopic ratio of the labeled nutrient pool remains constant throughout the duration of the experiment. If the nutrient is regenerated during the experiment, the heavy isotope spike will be diluted, lowering the isotopic ratio of the substrate during the experiment. This will lead Eq. 1 to underestimate in situ nutrient uptake. Blackburn (1979), Caperon et al. (1979), and Glibert et al. (1982) developed equations for simultaneously quantifying nutrient uptake and regeneration by monitoring substrate concentration and isotope ratio. These measurements, however, are quite technically challenging when substrate concentrations are low and hence not realistic for most studies that quantify nutrient uptake in oligotrophic regions. To address these issues, Kanda et al. (1987) made the assumptions that nutrient regeneration and uptake are constant throughout the duration of an incubation, that regenerated nutrients have an isotopic ratio equal to  $I_0$ , and that  $\partial I_p(t)/\partial t$  (the rate of change of isotope ratio in PON) was constant in time. Given these assumptions, Kanda et al. (1987) estimated nutrient uptake as:

$$\rho_{\rm kan} = \rho_0 \frac{-1 + (1-b)^{1-a}}{(a-1)b},\tag{3}$$

where *a* is equal to the ratio of nutrient regeneration rate to nutrient uptake rate  $(r/\rho)$  and

$$b = \frac{\rho_0 \times T}{[N]_{\text{amb}} + [N]_{\text{spk}}} \tag{4}$$

Kanda et al. (1987) originally formulated Eq. 3 for use with NH<sub>4</sub><sup>+</sup> uptake measurements, since NH<sub>4</sub><sup>+</sup> is rapidly regenerated by biological processes in the surface ocean. Recent evidence documenting shallow water nitrification in many ecosystems (Yool et al. 2007) raises the question of whether Eq. 3 is appropriate for  $NO_3^-$  uptake experiments as well. Furthermore, two key assumptions add substantial uncertainty to results derived from Eq. 3. As noted before, Eq. 3 assumes that regenerated nutrients are isotopically equal to the isotopic ratio of the ambient nutrient pool and that  $\partial I_{\rm p}(t)/\partial t$  is constant. Both of these assumptions are problematic when nutrient recycling within the incubation is substantial (i.e., when  $\rho \times T >>$  $[N_{\rm spk} + N_{\rm amb}]$ ). In the limit as  $\rho \times T/[N_{\rm spk} + N_{\rm amb}]$  approaches infinity,  $I_{\rm S}(T)$  and  $I_{\rm P}(T)$  will both asymptote to  $(I_{\rm P}(0) \times P(0))$  $+I_{\rm S}(0) \times S(0))/(P(0) + S(0))$ , while  $\partial I_{\rm p}(t)/\partial t$  will approach zero at the end of the incubation. Both of these violate the assumptions of Eq. 3, leading to potentially problematic results. An additional complication for using Eq. 3 is that, the ratio of nutrient regeneration to nutrient uptake  $(r/\rho)$  must typically be estimated a priori, and hence potentially introduces substantial uncertainty.

Another assumption inherent to using Eqs. 1 and 3 to estimate in situ uptake rates is the assumption that nutrient uptake rates in experimental bottles are representative of in situ uptake rates. Although bottle effects are potentially substantial and may lead to overestimation or underestimation of true rates (Veldhuis and Timmermans 2007; Laws 2013; Nogueira et al. 2014), I do not focus on them here. Instead, I consider the possibility that nutrient uptake rates are modified due to the addition of labeled nutrients. General practice considers this potential issue to be negligible if  $[N]_{spk}$  is < 10% of [N]<sub>amb</sub> (Dugdale 1967; McCarthy 1980; Dugdale and Wilkerson 1986). While spiking with such low nutrient concentrations may be good practice, it is not always possible if nutrient concentrations are not measured immediately at sea, which can be particularly difficult in oligotrophic areas where low-level nutrient methods may be necessary (e.g., Dore et al. 1996). Several studies have suggested computing a modified in situ nutrient uptake rate assuming that phytoplankton uptake follows a Monod-type saturating function, particularly if the tracer spike is >10% of ambient concentrations (Dugdale and Wilkerson 1986; Rees et al. 1999; Kanda et al. 2003). Such a modification can be calculated as:

$$\rho_{0,\text{is}} = \rho_0 \left( \frac{[N]_{\text{amb}}}{[N]_{\text{spk}} + [N]_{\text{amb}}} \right) \left( \frac{[N]_{\text{spk}} + [N]_{\text{amb}} + K_{\text{S}}}{[N]_{\text{amb}} + K_{\text{S}}} \right), \tag{5}$$

and

$$\rho_{\mathrm{kan,is}} = \rho_{\mathrm{kan}} \left( \frac{[N]_{\mathrm{amb}}}{[N]_{\mathrm{spk}} + [N]_{\mathrm{amb}}} \right) \left( \frac{[N]_{\mathrm{spk}} + [N]_{\mathrm{amb}} + K_{\mathrm{S}}}{[N]_{\mathrm{amb}} + K_{\mathrm{S}}} \right), \quad (6)$$

where  $K_s$  (half-saturation constant for phytoplankton nutrient uptake) is either measured in situ by conducting nutrient uptake measurements at multiple nutrient concentrations or assumed a priori.

In this study, I develop an unbiased equation (i.e., an equation that should not have systematic error) for estimating nutrient uptake when nutrient regeneration within the incubation bottle is significant. I then investigate the tradeoffs between calculating nutrient uptake using Eqs. 1, 3, 5, and 6 and this new equation in three ways: First, I present equations for measurement uncertainty determined by propagating measurement and parameter uncertainty through each equation (all equations are included in easy to use spreadsheets as Supporting Information, Data S1). I then use a plankton ecosystem model with a <sup>15</sup>N isotope module to simulate phytoplankton nutrient uptake experiments and compare phytoplankton nutrient uptake calculated with each equation to "true" model nutrient uptake (Appendix 1). I use this approach because "truth" (i.e., actual environmental rates) can never be known for environment samples. However, in a simulated deterministic environment (i.e., the ecosystem model used here) the "true" rates within the simulated ecosystem are known and hence can be compared to the results of simulated incubations conducted in different conditions and using different nutrient uptake equations. My next approach is to use results from diel uptake experiments conducted in two open ocean regions to highlight the differences between these equations (Appendix 2). Finally, in a "recommendations" section, I discuss the situations during which each equation may be most appropriate to use.

## Materials and procedures

## Derivation of equation for nutrient uptake with recycled nutrients

If we make the assumption that nutrient uptake rates are constant throughout an incubation (a necessary simplifying assumption also made in Eqs. 1 and 3, although it is unlikely to be strictly true in real experiments), we can write two differential equations governing the concentrations of substrate (S) and particulate organic matter (P) in the incubation:

$$\frac{\partial S(t)}{\partial t} = (a-1)\rho,\tag{7}$$

$$\frac{\partial P(t)}{\partial t} = (1-a)\rho, \tag{8}$$

where *a* is the fraction of nutrient uptake that gets regenerated (as in Eq. 3). The amount of <sup>15</sup>N (or other labeled substrate) taken up by phytoplankton can be easily estimated as  $\rho \times I_{\rm S}(t)$ ,

where  $I_{\rm S}(t)$  is the isotope ratio of the substrate (i.e., nutrient pool). The amount of <sup>15</sup>N regenerated from *P* is potentially complex because phytoplankton are not the only form of particulate organic matter. Indeed, nonliving detritus (which will not take up the labeled substrate) often comprises most of the particulate organic matter (POM) (Riley 1970; Yanada and Maita 1995; Stukel et al. 2014). Thus the actual amount of <sup>15</sup>N regenerated may depend on complex interactions within different components of particulate organic matter (phytoplankton, zooplankton, bacteria, archaebacteria, and detritus). However, if we make the null assumption that *P* is a well-mixed reservoir, we can write:

$$\frac{\partial_{15}S(t)}{\partial t} = a\rho \times I_{\rm P}(t) - \rho \times I_{\rm S}(t),\tag{9}$$

$$\frac{\partial_{15}P(t)}{\partial t} = \rho \times I_{\rm S}(t) - a\rho \times I_{\rm P}(t), \qquad (10)$$

where  ${}^{15}S(t)$  is the total  ${}^{15}N$  in the substrate (nutrient pool) and  ${}^{15}P(t)$  is the total  ${}^{15}N$  in the particulate pool. The rate of change of the isotopic ratios of S and P can then be written as:

$$\frac{\partial I_{\rm S}(t)}{\partial t} = \frac{a\rho \times I_{\rm P}(t) - \rho \times I_{\rm S}(t)}{S(t)},\tag{11}$$

$$\frac{\partial I_{\rm P}(t)}{\partial t} = \frac{\rho \times I_{\rm S}(t) - a\rho \times I_{\rm P}(t)}{P(t)}.$$
(12)

It is not possible to determine a closed-form solution for  $\rho$  as a function of only measurements typically made in incubation experiments. However, when  $\Delta S$  is small relative to *S* and  $\Delta P$  is small relative to *P* (both of which will be true when  $a \approx 1$ ),  $\rho$  can be approximated by the equation:

$$\rho_{\rm reg} = \left( \ln \left( \frac{I_{\rm S}(0) - a \times I_{\rm P}(0)}{P} \right) - \ln \left( \frac{I_{\rm P}(0) - I_{\rm P}(t)}{\left[ N_{\rm spk} + N_{\rm amb} \right]} + \frac{I_{\rm S}(0) - a \times I_{\rm P}(t)}{P} \right) \right)$$
$$\left( \frac{P \times \left[ N_{\rm spk} + N_{\rm amb} \right]}{P + a \times \left[ N_{\rm spk} + N_{\rm amb} \right]} \right) \frac{1}{T}.$$
(13)

For a full derivation, see Supporting Information Appendix S1. Following discussions above, I also define an equation for calculating in situ nutrient uptake when nutrient regeneration is substantial:

$$\rho_{\rm reg,is} = \rho_{\rm reg} \left( \frac{[N]_{\rm amb}}{[N]_{\rm spk} + [N]_{\rm amb}} \right) \left( \frac{[N]_{\rm spk} + [N]_{\rm amb} + K_{\rm S}}{[N]_{\rm amb} + K_{\rm S}} \right).$$
(14)

Importantly, for situations with substantial nutrient regeneration, Eq. 13 behaves in a sensible manner in the limit as  $t \to \infty$ . Specifically, with the system of differential equations outlined in Eqs. 7–12,  $I_{\rm P}(t)$  will approach:

$$I_{\rm P}(t=\infty) = \frac{P(0) \times I_{\rm P}(0) + S(0) \times I_{\rm S}(0)}{I_{\rm P}(0) + S(0)}$$
(15)

as the isotope label becomes evenly distributed between the substrate and particulate pool. When  $I_{\rm P}(T)$  approaches the value in Eq. 15, the term inside the second natural logarithm in Eq. 13, will approach zero. Thus the term inside the first parentheses approaches infinity while 1/T approaches zero. This means that if the incubation experiment is run too long such that the isotope label becomes evenly distributed throughout the substrate and particulate pools, Eq. 13 becomes undefined reflecting an inability to constrain nutrient uptake rates under these conditions. Uncertainty in nutrient uptake calculated by  $\rho_{reg}$  also increases appropriately as  $I_{\rm P}(t)$  approaches its value in Eq. 15 (see Supporting Information Appendix S2.5 for derivation of uncertainty). This is not the case for  $\rho_0$  or  $\rho_{\rm kan}$ . Both of these equations have  $I_{\rm S}(0)$  –  $I_{\rm P}(0)$  in the denominator and this term will never approach zero. Thus, because they also have time in the denominator,  $\sigma_{\rho 0}$  and  $\sigma_{\rho kan}$  (measurement uncertainty for  $\rho_0$  or  $\rho_{kan}$ , respectively) both approach zero as time approaches infinity (see Supporting Information Appendices S2.1 and S2.2 for derivation of uncertainty). This is clearly problematic and an argument in favor of using  $\rho_{reg}$  (Eq. 13) when recycling is expected to be substantial in the incubation bottle (Fig. 1).

#### Derivation of nutrient uptake uncertainty equations

Uncertainty in nutrient uptake experiments calculated from Eqs. 1, 3, 5, 6, 13, and 14, arises from uncertainty in *T*, *P*,  $I_P(T)$ ,  $I_P(0)$ ,  $I_{spk}$ ,  $I_{amb}$ ,  $N_{spk}$ ,  $N_{amb}$ , *a*, and  $K_S$ . However, I have not seen equations that calculate uncertainty in nutrient uptake by propagating uncertainty in each of these parameters through these equations. For each equation, I assume that measurement



**Fig. 1.** Comparison of nutrient uptake equations with complete nutrient regeneration (a = 1). Results based on numerical solution of system of differential equations described by Eqs. 7–12. Initial conditions were PON = 1  $\mu$ mol L<sup>-1</sup>,  $N_{amb} = 0.01 \,\mu$ mol L<sup>-1</sup>,  $N_{spk} = 0.001 \,\mu$ mol L<sup>-1</sup>. Actual nutrient uptake rate was 100 nmol L<sup>-1</sup> d<sup>-1</sup>. (**a**) Isotope ratio in particulate and nutrient pools over time. (**b**) Ratio of calculated nutrient uptake rate (using  $\rho_0$ ,  $\rho_{kan}$ , or  $\rho_{reg}$ ) to actual nutrient uptake rate. Both are plotted against the number of times the nutrient pool is recycled within the incubation.

uncertainty in one parameter (e.g., P) is uncorrelated with measurement uncertainty in another parameter (e.g.,  $I_{spk}$ ). I hence calculate propagation of uncertainty following the general chain rule:

$$\sigma_f = \sqrt{\left(\frac{\partial f}{\partial x}\right)^2 \sigma_x^2 + \left(\frac{\partial f}{\partial y}\right)^2 \sigma_y^2 + \left(\frac{\partial f}{\partial z}\right)^2 \sigma_z^2},$$
 (16)

if *f* is a function of *x*, *y*, and *z*. Because of the complexity of Eqs. 1, 3, 5, 6, 13, and 14, the equations for their uncertainty cannot be displayed here. However, they are available in Supporting Information Appendix S2 in Eqs. B1, B13, B40, B58, B73, and B92, respectively. In the Supporting Information Data S1, I have also included Microsoft Excel spreadsheets and Mathworks Matlab scripts that can be used to calculate  $\rho$  and its associated uncertainty for each equation. These files are also available on GitHub at https://github.com/stukel-lab/NUpCalculations.

Most frequently, studies report uncertainty in nutrient uptake rates as the standard deviation (or standard error) of duplicate or triplicate measurements. This is not, however, an appropriate estimate of true measurement uncertainty, because it does not account for correlated errors across the measurements. For instance with  $\rho_{kan}$  and  $\rho_{reg}$  the ratio of nutrient regeneration to nutrient uptake (a) must be assumed a priori. This a priori choice is associated with substantial uncertainty that is not reflected in uncertainty estimates made from triplicate incubations that all assume the same value of a. In Supporting Information Appendix S2.7, I explain how to calculate measurements.

Equation 16 has an implicit assumption that either higher order derivatives are negligible or the uncertainty in input parameters is small. When this is not the case, asymmetric confidence intervals are more appropriate. To compute asymmetric confidence intervals I used a standard Monte Carlo approach (Anderson 1976). Input parameters were randomly varied based on their assumed or measured uncertainty ranges and  $\rho_{0}$ ,  $\rho_{kan}$ ,  $\rho_{reg}$ ,  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , or  $\rho_{reg,is}$  were calculated based on these randomly distributed input parameters. A total of 1000 iterations were performed. Matlab code for calculating asymmetric confidence intervals for each of these equations is available in the supplemental material and on GitHub. In all plots I showed the symmetric confidence limits (Eq. 16) unless otherwise stated, because symmetric confidence limits are far more commonly used in the literature.

## **Results and discussion**

#### Comparison of nutrient uptake equations

Using an ecosystem model (NEMURO +  $^{15}$ N), I conducted simulated nutrient uptake experiments and assessed the fidelity with which Eqs. 1, 3, 5, 6, 13, and 14 could recover the "true" nutrient uptake occurring within the ecosystem model

(see Appendix 1 for full details).  $\rho_0$ ,  $\rho_{kan}$ , and  $\rho_{reg}$  all performed similarly when quantifying nitrate uptake for 4-h incubations when nitrate uptake rates were low. However, when nitrate uptake (and nutrient recycling in the bottle) was very rapid,  $\rho_0$ underestimated true uptake rates and suggested inaccurately low confidence intervals. This issue was much more pronounced for 24-h incubations. This issue was also substantial for simulated ammonium uptake experiments. Even with short 4-h incubations,  $\rho_0$  often underestimated uptake rates significantly.  $\rho_{kan}$  and  $\rho_{reg}$  performed well for 4-h incubations. For 24-h simulated ammonium uptake incubations, all equations had a tendency to underestimate true uptake, although larger error bounds associated with  $\rho_{reg}$  accurately conveyed the confidence limits in these results while  $\rho_{kan}$  and especially  $\rho_0$  produced upper 95% confidence limits that were often much lower than the true uptake rate. A generalizable result of these experiments is that  $\rho_0$  performed poorly when a relatively high proportion of the labeled substrate was taken up during the experiment and that when the percentage of labeled substrate consumed exceeded 60% only  $\rho_{\rm reg,is}$  accurately reflected the uncertainty associated with the experiment (Fig. 2). When used to estimate uptake rates in the simulated ambient environment (rather than within the simulated incubation bottle) the correction terms associated with  $\rho_{\text{kan,is}}$  and  $\rho_{\rm reg,is}$  (Eqs. 6 and 14) more accurately estimated the ambient nutrient uptake rates than  $\rho_{kan}$  and  $\rho_{reg}$ .

I also conducted diel experiments to assess each equation in field conditions by conducting paired 24-h incubations simultaneously with a series of six sequential 4-h incubations using bottles sampled from the same water (see Appendix 2 for full details). The assumption in these experiments is that 4-h incubations will be more accurate, but that with an unbiased equation (i.e., an equation with no systematic error) the results of the 24-h incubation will have higher uncertainty, but the confidence limits should still bracket the more accurate results determined by averaging rates determined from the six 4-h incubations. Results showed generally good agreement between 4- and 24-h nitrate uptake equations with all equations, although  $\rho_0$  was more prone to underestimate nitrate uptake in the 24-h incubations (Fig. 3). All equations substantially underestimated ammonium uptake for the 24-h incubations, although the  $\rho_{\text{reg,is}}$  equation (when used with asymmetric confidence limits) did a much better job of accurately reflecting the uncertainty associated with these 24-h incubations.

## Uncertainty in nutrient uptake measurements

Uncertainty in nutrient uptake measurements can be derived from two distinct sources: parameter uncertainty and model uncertainty. By "parameter uncertainty" I refer to uncertainty in parameters and measurements that are used in nutrient uptake calculations (e.g.,  $K_S$ , a,  $N_{amb}$ ,  $I_P(T)$ ). Uncertainty in these parameters can be approximated using symmetric confidence limits (Eq. 16) outlined in Supporting Information Data S1 and in the Matlab and excel files that I have included as a supplement to this manuscript. It can also be approximated more accurately using Monte Carlo approaches to quantify asymmetric confidence limits (also available in Matlab files in the supplement). Notably, I have not noted any studies that have accurately computed uncertainty arising from all parameters that are used as inputs to  $\rho_0$ ,  $\rho_{kan}$ ,  $\rho_{reg}$ ,  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , or  $\rho_{reg,is}$ . Rather, most studies report



**Fig. 2.** Comparison of nitrate uptake results calculated with  $\rho_0$ ,  $\rho_{kan}$ , and  $\rho_{reg}$  to the fraction of labeled isotope present in the particulate pool at the end of the incubation (*x*-axis = ( $I_P(T) - I_P(0)$ )/( $N_{spk} \times I_{spk}$ )). Results are from NEMURO + <sup>15</sup>N models run to steady-state and "sampled" for 4-h nitrate uptake incubations (dark red), 24-h nitrate uptake incubations (light red), 4-h ammonium uptake incubations (dark blue), and 24-h ammonium uptake incubations (light blue). These are the same experiments plotted in Figs. 6 and 7.



**Fig. 3.** Comparison of 4-h (*x*-axis) and 24-h (*y*-axis) nutrient uptake rates conducted on cruises in the Gulf of Mexico and Costa Rica Dome computed using  $\rho_{0,is}$  (**a**,**d**),  $\rho_{kan,is}$  (**b**,**e**), and  $\rho_{reg,is}$  (**c**,**f**). Panels (**a**–**c**) show symmetric confidence intervals calculated using Eq. 16. Panels (**d**–**f**) show asymmetric confidence intervals calculated by Monte Carlo analyses.

uncertainty as the standard error of the mean (or SD) of replicate measurements. Crucially, reporting uncertainty in this way only accounts for uncertainty in uncorrelated parameters (typically  $I_P(T)$ , P, and  $N_{spk}$ ), while it neglects uncertainty in correlated errors (most notably  $N_{amb}$  and, for some equations, a and  $K_s$ ). This thus understates the true uncertainty arising from the parameters in the equations. A more accurate approach is to combine the standard error of the mean with the uncertainty in these other parameters as outlined in Supporting Information Appendix S2.7.

"Model uncertainty" refers to uncertainty in the nutrient uptake models that implicitly underlie  $\rho_0$ ,  $\rho_{kan}$ ,  $\rho_{reg}$ ,  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , or  $\rho_{reg,is}$ . Notably, each one of these equations uses a model that assumes that nutrient uptake is constant throughout the duration of the incubation, despite the fact that studies have conclusively shown evidence for multiple nonlinear patterns to occur in field and laboratory studies (Goldman et al. 1981). These models also assume that  $\delta^{15}$ N of the substrate does not impact uptake rates, an assumption that has been called into question (Mathieu et al. 2007; Tang and Maggi 2012).  $\rho_0$  further assumes that no nutrients are regenerated in the incubation bottle.  $\rho_{kan}$  assumes that nutrient regeneration occurs (if a > 0) but assumes that these regenerated nutrients have an isotope ratio equal to that of the unlabeled nutrient or particulate pool.  $\rho_{reg}$  assumes that the particulate and nutrient pools remain at steady state (though their isotopic compositions change) and is hence only an exact solution when a = 1. The in situ equations all assume that nutrient uptake rates follow Monod kinetics with known half-saturation constants, despite evidence showing that nutrient uptake involves a complex interaction between multiple environmental parameters and intracellular regulation (Morel 1987; Sommer 1991; Kana and Glibert 2016; Cáceres et al. 2019). Notably all of these assumptions will break down during certain conditions and give rise to the mismatches seen in Figs. 2 and 3.

Obviously, an experimental approach that can minimize model error and uncertainty is desirable. All models were more accurate when a relatively small fraction of the labeled nutrient (< 20%) was taken up during the incubation (Fig. 2). However, without a priori knowledge of uptake rates it can be challenging to determine the optimum incubation duration. Particularly for ammonium uptake, it is clear that 4-h incubations are more accurate than 24-h incubations. However, even for 4-h incubations, a substantial fraction of the total nutrient pool can be recycled during the incubation. While it is

tempting to suggest that even shorter incubations are preferable, there are substantial tradeoffs associated with shorter incubation times. When uptake rates are low, shorter incubation times may lead to relatively low incorporation of labeled isotope (i.e.,  $I_P(T) - I_P(0)$  is small), increasing measurement uncertainty. "Surge uptake" (short periods when rapid nutrient uptake exceeds assimilation) can also lead to substantial biases in short duration experiments (McCarthy and Goldman 1979; Collos 1982; Raimbault and Gentilhomme 1990; Dortch et al. 1991; Smith et al. 2009), while diel patterns in nutrient uptake can vary for different phytoplankton groups (Lee et al. 2015). My results clearly show a strong diel pattern in nitrate uptake in both the Costa Rica Dome and Gulf of Mexico, but no diel pattern in ammonium uptake (Appendix 2). Other studies have determined or assumed different results, however. For instance, in nutrient uptake studies in the Equatorial Pacific, McCarthy et al. (1996) and Parker et al. (2011) both multiplied short-term nitrate uptake rate measurements by 12 h and ammonium uptake rates by 18 h to determine daily estimates, implying strong and weak light dependence respectively, while Peña et al. (1992) multiplied short-term estimates by 24 h implying no light dependence.

Use of tracer label at a concentration < 10% of the ambient nutrient concentration, as recommended originally by Dugdale and Goering (1967), may be advantageous and serves two important purposes. It minimizes differences between in situ uptake and bottle uptake (i.e., between  $\rho_0$  and  $\rho_{0,is}$ ). It also decreases the likelihood that a perturbation will lead to nonlinear uptake rates. However, at very low nutrient concentrations, phytoplankton may be able to completely consume a 10% nutrient spike during the incubation. Hence, Glibert and Capone (1993) have recommended a target tracer concentration of 10% ambient particulate nitrogen.

### Recommendations

Ideally, uptake experiments would keep labeled nutrient tracer spikes < 10% of ambient nutrient and terminate incubations before substantial nutrient regeneration occurs. If such conditions are met, all 6 equations tested in this study give similar results. However, achieving both of these goals can be difficult at low nutrient concentrations, so it may be advisable to spike with tracer equal to  $\sim 10\%$  of particulate nitrogen. An ideal experimental design would probably include triplicate 2-4-h nutrient uptake incubations conducted at natural light levels and repeated continuously over a full diel period to test for nonlinearity. If possible, measurements of substrate isotope dilution are also advisable (Caperon et al. 1979; Glibert et al. 1982; Hansell and Goering 1989). However, for full euphotic zone coverage, the above would likely need to be replicated at 6-8 depths. Such experimental designs are certainly not practical in most situations. It is thus important to carefully consider (especially in oligotrophic conditions) which equation to use and how confidence limits should be determined.



**Fig. 4.** Recommended flow chart for deciding which nutrient uptake equation to use.  $\Phi$  is the ratio of labeled nutrient incorporated in particulate matter at the end of the experiment and is equal to  $(I_P(T) - I_P(0))/(N_{spk} \times I_{spk})$ . EZ, euphotic zone.

First, it is important to consider the goal of the experiment (Fig. 4). When trying to quantify in situ nutrient uptake rates  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , or  $\rho_{reg,is}$  should be used. However, if the goal is to investigate nutrient uptake kinetics by manipulating nutrient concentrations,  $\rho_0$ ,  $\rho_{kan}$ , or  $\rho_{reg}$  should be used. The next decision depends on whether the nutrient being measured is expected to be recycled in the incubation bottle. Typically, ammonium is recycled during the experiment, while nitrate may or may not be recycled. However, the equations in this manuscript can also be used for other uptake experiments including urea uptake (recycling should be assumed), phosphate uptake (also recycled), or bicarbonate uptake (minimal recycling). When recycling is substantial, it is always appropriate to use  $\rho_{kan}$  or  $\rho_{reg}$  (or  $\rho_{kan,is}$  or  $\rho_{reg,is}$ ).

It can be unclear whether or not experimentalists should assume that nitrate is being recycled within incubation bottles. While it was originally assumed that nitrate is only regenerated beneath the euphotic zone (Eppley and Peterson 1979), abundant recent evidence suggests that nitrification can occur in the euphotic zone (Yool et al. 2007). Earlier results apparently showing light limitation of nitrification may have resulted from competition between nitrifiers and phytoplankton for ammonium (Smith et al. 2014; Xu et al. 2019). Determining whether substantial nitrate recycling is occurring in the euphotic zone can be challenging. Ideally, independent nitrification rate measurements can be conducted by spiking separate bottles with labeled ammonium and quantifying <sup>15</sup>NO<sub>3</sub><sup>-</sup> (e.g., Santoro et al. 2013; Buchwald et al. 2015). When nitrification rate measurements are not feasible, investigators can use nitrate profiles and reasonable estimates of vertical eddy diffusivity and/or upwelling to estimate whether or not diffusive and advective transport can supply enough nitrate to the euphotic zone to support measured nitrate uptake rates (Painter et al. 2013; Fernández-Castro et al. 2015; Caffin et al. 2017).

It is also important to choose a reasonable estimate for a (the ratio of nutrient recycling to nutrient uptake). If complete recycling is expected, I recommend that investigators assume  $a = N_{\text{amb}}/(N_{\text{amb}} + N_{\text{spk}})$ , rather than 1. This assumes that nutrient regeneration rates remain at the levels that would occur prior to the perturbation caused by adding a nutrient spike. Regardless of what value is chosen for a, using appropriate values for  $\sigma_a$  should ensure that errors in this unknown parameter are properly incorporated.

When only a small fraction of the <sup>15</sup>N-labeled spike is incorporated into particulate matter during the incubation,  $\rho_0$ ,  $\rho_{kan}$ , and  $\rho_{reg}$  give similar results. In such situations, I recommend using  $\rho_{kan}$  (or  $\rho_{kan,is}$ ).  $\rho_{kan}$  notably simplifies to  $\rho_0$  (the most commonly used equation for nutrient uptake) when a = 0. However, uncertainty limits determined for  $\rho_{kan}$  appropriately incorporate uncertainty in the amount of recycling occurring within the incubation bottle. When a large fraction of the <sup>15</sup>N-labeled spike is incorporated into particulate matter during the incubation, I recommend the use of  $\rho_{reg}$  (or  $\rho_{reg,is}$ ), because when  $\Phi > 0.6$  (where  $\Phi = (I_P(T) - I_P(0))/(N_{spk} \times I_{spk})) \rho_{kan}$  has a significant negative bias, while  $\rho_{reg}$  is unbiased (Fig. 3). Furthermore, when asymmetric confidence limits are used, it is more likely that uncertainty limits from  $\rho_{reg}$  will bracket the true values.

I further recommend that investigators use the Excel or Matlab files included with this manuscript to investigate the sensitivity of their results to choices of which equation to use. Although the symmetric confidence limits are easier to use, investigators should also compute the asymmetric confidence limits to assess whether or not the symmetric confidence limits are appropriate for any particular incubation experiment. Furthermore, I believe that it is important that authors submit not only their estimates of nutrient uptake rates, but also the parameters that go into these estimates (e.g., P, T,  $I_{\rm P}(T)$ ,  $N_{\rm amb}$ ,  $N_{\rm spk}$ ) when they archive their data in data repositories and/or as supplements to manuscripts. This will ensure that future investigators can thoroughly evaluate the assumptions made by the original authors if they intend to use this data in the future or compare results from studies using different equations (e.g., Aufdenkampe et al. 2001). Nutrient uptake rate measurements are never exact and it is important that we allow other investigators to independently evaluate our assumptions and related confidence limits.

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## Appendix A

Assessment using a simulated ecosystem framework

## Modeling approach

To model the planktonic ecosystem and changing isotopic ratios of ecosystem compartments, I use the NEMURO + <sup>15</sup>N model described in Stukel et al. (2018b). This model combines the NEMURO biogeochemical model (Kishi et al. 2007, 2011) with the nitrogen isotope model of Yoshikawa et al. (2005). Briefly, NEMURO is a nitrogen currency planktonic ecosystem model with three nutrient pools (nitrate, ammonium, and silicic acid), two phytoplankton (large and small), three zooplankton (large, small, and predatory), dissolved organic nitrogen, detritus, and detrital silica. NEMURO was chosen because it is a well-known model that includes some of the key components necessary for testing the accuracy of nitrate uptake (i.e., two nitrogenous nutrient pools, multiple phytoplankton and zooplankton groups, nitrification). However, it is important to note that NEMURO does not include heterotrophic bacteria that may compete with phytoplankton for  $NH_4^+$  or  $N_2$ fixation, which can be an important source of new nitrogen that can be converted into recycled DON or NH<sub>4</sub><sup>+</sup>. These processes are potentially important in oligotrophic regions and may impact the results of nutrient uptake experiments (Dugdale and Goering 1967; Kirchman 1994). The sensitivity of NEMURO has also been extensively investigated (Rose et al. 2007; Yoshie et al. 2007; Ito et al. 2010), and its parameters have been tuned using in situ phytoplankton experiments (Li et al. 2010, 2011). Stukel et al. (2018b) added a nitrogen isotopic model (Yoshikawa et al. 2005) to the NEMURO framework. This model adds state variables tracking the <sup>15</sup>N content of each nitrogen-containing state variable and includes isotopic fractionation during nutrient uptake, excretion, egestion, nitrification, and remineralization. For a detailed description of the model, I refer readers to Kishi et al. (2007) and Stukel et al. (2018b).

One minor modification was made to NEMURO: the term for basal phytoplankton respiration was replaced with a basal excretion rate, because in the original configuration of NEMURO, when phytoplankton respiration exceeds phytoplankton growth rates, phytoplankton actually excrete nutrients (nitrate and ammonium) in the proportion to which they would take up those nutrients (if their growth rate exceeded respiration). This leads to unrealistic dynamics in which phytoplankton taxa can serve as a source of nitrate in the mixed layer under some conditions, particularly in oligotrophic regions.

To simulate conditions in the open ocean, I ran NEMURO +  $^{15}$ N in a simple one-dimensional physical framework from the surface to a depth of 300 m with 2-m vertical resolution and 1-min temporal resolution. I assumed a constant vertical diffusivity of  $10^{-3}$  m<sup>2</sup> s<sup>-1</sup> (default) within a 20-m mixed layer that gradually decreased to  $10^{-5}$  m<sup>2</sup> s<sup>-1</sup> (default) at a depth of twice the mixed layer depth and remained constant with depth below that range. To create multiple different ecosystem configurations, I ran the model 37 times with different physical and biological parameterizations (Fig. A1; Supporting Information Table S1). I do not argue that any one parameter set is more or less accurate, but rather test the relative accuracy of Eqs. 1, 3, 5, 6, 13, and 14 under these scenarios that capture different phytoplankton and nutrient dynamics.

To assess the accuracy of each nutrient uptake equation, each model configuration was first run to steady state. At steady state, simulated in situ mixed layer nitrate and ammonium uptake were quantified at a depth of 10 m using the equations within NEMURO. At the same time simulated "bottle incubations" were conducted on water from 10-m depth by: (1) turning off model physics (i.e., turning off the sinking and mixing subroutines in the model), (2) "spiking" the "bottle" by adding additional <sup>15</sup>N labeled nitrate or ammonium to the appropriate model state variable, (3) running the bottle incubation for a period of 4 or 24 h, and then (4) quantifying the <sup>15</sup>N isotope ratio of all particulate matter (phytoplankton, zooplankton, and detritus) at the end of the incubation. Based on my experience with true in situ incubations, I "spiked" the simulated incubations with 10% of ambient nutrient concentrations if nutrient concentrations were  $> 100 \text{ nmol L}^{-1}$ , but spiked with a constant 10 nmoL  $L^{-1}$  if ambient nutrients were  $< 100 \text{ nmol } L^{-1}$ .

 $\rho_{0}$ ,  $\rho_{kan}$ ,  $\rho_{reg}$ ,  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , and  $\rho_{reg,is}$  were then calculated from Eqs. 1, 3, 5, 6, 13, and 14 (for both nitrate and ammonium uptake) and compared to "true" model nitrate and ammonium uptake calculated either within the modeled bottle or the model water column. For  $\rho_{\text{kan}}$ ,  $\rho_{\text{reg}}$ ,  $\rho_{\text{kan,is}}$ ,  $\rho_{\text{reg,is}}$  I assumed that a (the ratio of nutrient regeneration rate to nutrient uptake rate) was equal to  $N_{amb}/(N_{amb} + N_{spk})$ . This assumption was made because it is rare to have information available to make any better guess than the null assumption that the system is near steady state (prior to the perturbation of adding labeled substrate). I assumed that uncertainty in a was equal to  $\pm 0.5$ . For  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , and  $\rho_{reg,is}$ , I assumed (regardless of what half-saturation constant was used in the model) that  $K_{\text{NO3}} = 0.1 \ \mu \text{mol } \text{L}^{-1}$  and  $K_{\text{NH4}} = 0.05 \ \mu \text{mol } \text{L}^{-1}$ based on prior data syntheses (Harrison et al. 1996; Edwards et al. 2012; Beltrán-Heredia et al. 2017; Mutshinda et al. 2017). It must be noted, however, that some studies have called into question the applicability of assuming any Michaelis-Menten half-saturation constant (Bonachela et al. 2011; Tang and Maggi 2012), and that even if this approach is an accurate



Fig. A1. Summary of steady-state conditions for each model simulation. Each data point represents conditions for a specific parameter set.

model, half-saturation constants vary by several of orders of magnitude. I thus introduce variables  $L10_{\text{KNO3}}$  and  $L10_{\text{KNH4}}$ , which are the log base 10 transformations of  $K_{\text{NO3}}$  and  $K_{\text{NH4}}$ , respectively, and set  $L10_{\text{KNO3}} = -1 \pm 1$  and  $L10_{\text{KNH4}} = -1.3 \pm 1$ .

Since Eqs. 3 and 13 ( $\rho_{\text{kan}}$  and  $\rho_{\text{reg}}$ ) assume that the nutrient concentrations are at steady state in the incubation bottle, it is possible that evaluating the equations at steady state may unfairly favor  $\rho_{\text{kan}}$  and  $\rho_{\text{reg}}$ . I thus also conducted non-steady-state simulations. These non-steady-state simulations had broadly similar results to the steady-state simulations (see Supporting Information Data S1).

## *Results of simulated incubations compared to nutrient uptake within bottles*

To investigate the efficacy of each nutrient uptake equation, I ran a series of NEMURO + <sup>15</sup>N simulations and conducted "simulated incubations" by turning off mixing and adding <sup>15</sup>N-labeled nutrient tracers (<sup>15</sup>NO<sub>3</sub><sup>-</sup> or <sup>15</sup>NH<sub>4</sub><sup>+</sup>). When estimating nitrate uptake from 4-h simulated incubations  $\rho_0$ ,  $\rho_{\rm kan}$ , and  $\rho_{\rm reg}$  all performed similarly well at low nitrate uptake rates (<2 nmol N L<sup>-1</sup> h<sup>-1</sup>). At these low rates,  $\rho_0$  and  $\rho_{kan}$ showed a consistent, very weak negative bias, while  $\rho_{reg}$  typically had a similar small negative misfit, but also overestimated true uptake by a similar amount for a couple of simulations (Fig. A2a-c). At higher nitrate uptake rates results began to diverge. Above 2 nmolNL<sup>-1</sup> h<sup>-1</sup> both  $\rho_0$  and  $\rho_{kan}$ underestimated uptake, at times by > 50%. The underestimate of true uptake rates was most concerning for  $\rho_0$ , with which uncertainty estimates gave a false sense of confidence in these underestimated values. For one simulation, even the upper

limit of the confidence interval was less than 50% of the true value. By contrast, the greater uncertainty limits derived using  $\rho_{kan}$  (which has higher uncertainty because it incorporates uncertainty in a, the fraction of nutrients that are regenerated) bracketed the true value for every simulation except one. In contrast,  $\rho_{reg}$  started to slightly overestimate true uptake at ~1 nmol NL<sup>-1</sup> h<sup>-1</sup>, and substantially overestimate it over 2 nmol NL<sup>-1</sup> h<sup>-1</sup>. However, for all simulations the uncertainty estimates for  $\rho_{reg}$  bracketed the true value.

When running 24-h incubations similar patterns emerged, but the errors associated with  $\rho_{0}$ ,  $\rho_{kan}$ , and  $\rho_{reg}$  became more severe (Fig. A2d–f). For  $\rho_{0}$ , underestimates reached a ratio of 1 : 10 and uncertainty ranges actually shrank relative to the 4-h incubations. This led to nine simulations in which uncertainty ranges did not bracket the true values. Estimates based on  $\rho_{kan}$  were largely similar to those based on  $\rho_{0}$ , although the greater uncertainty estimates for  $\rho_{kan}$  led to these estimates only failing to bracket the true values for seven simulations. In contrast,  $\rho_{reg}$  overestimated the true uptake rate by a ratio of ~ 2:1 for several simulations with nitrate uptake of 10–20  $\mu$ mol m<sup>-3</sup> d<sup>-1</sup> and underestimated it by a ratio of ~ 1:4 for two simulations at high nitrate uptake rates (the only simulations for which uncertainty did not bracket the true values).

Greater differences emerged between  $\rho_0$  and the two equations designed to account for isotope dilution when used to estimate ammonium uptake (Fig. A3). At uptake rates > 1 nmol L<sup>-1</sup> h<sup>-1</sup>, 4-h  $\rho_0$  underestimates were pronounced, typically at a ratio of 1:2 and uncertainty estimates seldom bracketed the true value. In contrast,  $\rho_{kan}$  and  $\rho_{reg}$  showed no consistent overestimate or underestimate bias and  $\rho_{kan}$ 



**Fig. A2.** Comparison of nitrate uptake results for 4-h (**a**–**c**) and 24-h (**d**–**f**) nitrate uptake measurements from steady-state NEMURO + <sup>15</sup>N model runs, calculated using  $\rho_0$  (**a**,**d**, Eq. 1),  $\rho_{Kan}$  (**b**,**e**, Eq. 3), and  $\rho_{reg}$  (**c**,**f**, Eq. 14). *Y*-axis is the ratio of calculated uptake to "actual" uptake computed inside simulated bottles in the NEMURO + <sup>15</sup>N model. Colors represent different parameter sets and are identical to colors in Fig. A1.

uncertainty estimates always bracketed the true value, while  $\rho_{reg}$  uncertainty estimates failed to bracket the true value for a single simulation. For 24-h incubations, ammonium uptake estimates were much worse (Fig. A3d–f). The negative bias for  $\rho_0$  was substantial for most simulations and reached values lower than 1:10. Uncertainty estimates also suggest great confidence in these substantially biased estimates.  $\rho_{kan}$  also consistently underestimated the true values, although to a lesser extent. For  $\rho_{reg}$  the misfits were not consistent, with a negative misfit on most simulations, but a positive misfit on some. At high nutrient uptake rates (> 10 nmol L<sup>-1</sup> h<sup>-1</sup>) where all three equations underestimated true nutrient uptake,  $\rho_{reg}$  performed the best.

# Results of simulated incubations compared to simulated in situ conditions

The above results compared nutrient uptake rates predicted based on bottle incubations to the actual nutrient uptake in those simulated bottles. However, investigators are often interested in determining in situ nutrient uptake rates rather than uptake rates within incubation bottles. I thus compared nutrient uptake estimates calculated from simulated bottle incubations (using  $\rho_0$ ,  $\rho_{kan}$ ,  $\rho_{reg}$ ,  $\rho_{0,is}$ ,  $\rho_{kan,is}$ , and  $\rho_{reg,is}$ ) to nutrient uptake rates in the model at the depths from which the bottles were sampled. The in situ correction in Eq. 5, 6, and 14 leads to a downward revision of nutrient uptake estimates relative to  $\rho_0$ ,  $\rho_{\text{kan}}$ , and  $\rho_{\text{reg}}$ , especially at low nutrient concentrations when I used a minimum simulated nutrient spike of 10 nmol L<sup>-1</sup> that exceeded 10% of ambient nutrients.

When comparing nitrate uptake rates from 4-h incubations using  $\rho_0$  and  $\rho_{0,is}$  to simulated in situ nutrient uptake the patterns were fairly complex (Fig. A4a,d). At low uptake rates  $\rho_0$ tended to overestimate in situ nitrate uptake, but was reasonably accurate at high uptake rates.  $\rho_{0,is}$ , was fairly accurate at low uptake rates, but typically underestimated nitrate uptake at high uptake rates. These contrasting patterns were driven by differing causes. True nitrate uptake in the simulated bottles was typically higher than true nitrate uptake in the simulated ocean, due to the tracer addition. Thus when  $\rho_0$  was accurate at estimating nitrate uptake in the bottle, the correction applied by  $\rho_{0,is}$  led to more accurate estimation of in situ nutrient uptake. However, when  $\rho_0$  substantially underestimated true nitrate uptake in the bottle, it was often closer to the actual values in situ. This led the correction applied by  $\rho_{0,is}$  to underestimate true in situ nitrate uptake. Similar patterns were seen when comparing  $\rho_{kan}$  and  $\rho_{kan,is}$  (Fig. A4b,e), although the greater uncertainty estimates for  $\rho_{kan}$  relative to  $\rho_0$  (and  $\rho_{\text{kan,is}}$  relative to  $\rho_{0,\text{is}}$ ) more accurately reflected uncertainty in uptake rate measurements. For  $\rho_{reg}$  and  $\rho_{reg/is}$  the



**Fig. A3.** Comparison of ammonium uptake results for 4-h (**a**–**c**) and 24-h (**d**–**f**) ammonium uptake measurements from steady-state NEMURO +  ${}^{15}N$  model, calculated using  $\rho_0$  (**a**,**d**, Eq. 1),  $\rho_{Kan}$  (**b**,**e**, Eq. 3), and  $\rho_{reg}$  (**c**,**f**, Eq. 14). *Y*-axis is the ratio of calculated uptake to "actual" uptake computed inside simulated bottles in the NEMURO +  ${}^{15}N$  model. Colors represent different parameter sets and are identical to colors in Fig. A1.



**Fig. A4.** Comparison of simulated calculated nitrate uptake to simulated natural uptake rates. Calculated nitrate uptake rate is computed using  $\rho_0$  (**a**),  $\rho_{\text{kan}}$  (**b**),  $\rho_{\text{reg}}$  (**c**),  $\rho_{0,\text{is}}$  (**d**),  $\rho_{\text{reg,is}}$  (**f**). *Y*-axis is the ratio of calculated uptake after 4-h simulated incubations to "actual" uptake computed in the 1D NEMURO + <sup>15</sup>N model. Colors represent different parameter sets and are identical to colors in Fig. A1.

greater agreement between calculated and true nutrient uptake within the bottles led to generally greater agreement between  $\rho_{\rm reg,is}$  and true in situ uptake than between  $\rho_{\rm reg}$  and true in situ uptake (Fig. A4c,f).

For simulations comparing in situ ammonium uptake to estimates from  $\rho_0$  and  $\rho_{0,is}$  the results were similar to those for nitrate uptake.  $\rho_{0,is}$  was an improvement when uptake rates were low, but led to slightly worse results when uptake was high (Fig. A4a,d). By contrast  $\rho_{kan,is}$  was typically a very good estimate of in situ uptake rates (Fig. A4e). The uncertainty estimates only failed to bracket the true value during two simulations and the worst disagreements led to only a roughly 1:2 ratio of estimated to true uptake rates.  $\rho_{reg}$  and  $\rho_{reg,is}$  showed similar performance (Fig. A4c,f).  $\rho_{reg}$  was an overestimate at low ammonium uptake rates.  $\rho_{reg,is}$  typically showed good agreement with uncertainty estimates only failing to bracket the true values for one simulation.

## Appendix B

## Assessment using diel uptake experiments

## Field methods

To investigate whether nutrient uptake calculation results based on model situations were applicable to field measurements, I conducted diel  $NO_3^-$  and  $NH_4^+$  uptake experiments in the Costa Rica Dome (CRD) and the oligotrophic central Gulf of Mexico (GoM). The CRD is an open ocean upwelling ecosystem with relatively high  $NO_3^-$  concentrations, abundant populations of picophytoplankton (particularly *Synechococcus*), and high biomass of mesozooplankton and higher trophic levels (Selph 2016; Stukel et al. 2018a). The central Gulf of Mexico is an oligotrophic region with very low nutrient concentrations, deep chlorophyll maxima, and an important role for the nitrogen fixing cyanobacterium *Trichodesmium* (Mulholland et al. 2006; Muller-Karger et al. 2015; Gomez et al. 2018).

In both regions, I conducted diel uptake experiments, consisting of six consecutive 4-h incubations coincident with replicated 24-h incubations. Samples were incubated in 2.2-L (CRD) or 2.7-L (GoM) polycarbonate bottles, gently filled using silicon tubing. All 8-10 bottles for an experiment were filled from Niskin bottles from the same depth on the same cast. 24-h bottles and a single 4-h bottle were initially spiked with <sup>15</sup>N-labeled  $NO_3^-$  or  $NH_4^+$ . I added 100 nmol L<sup>-1</sup> <sup>15</sup>NO<sub>3</sub>^-(final concentration) in the CRD and 8–10 nmol  ${}^{15}\text{NO}_3^-$  or 5-10 nmol <sup>15</sup>NH<sub>4</sub><sup>+</sup> in the GoM. These concentrations were chosen based on expectations for 10% of in situ nutrient concentrations, although subsequent measurements showed that in the GoM, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup> concentrations were occasionally as low as 10 and  $54 \text{ nmol L}^{-1}$ , respectively. All bottles were placed in a deckboard incubator shaded with blue acrylic to match in situ light levels and cooled with flow-through surface seawater. Four hours later, the first 4-h sample was removed from the incubator and immediately filtered through a precombusted GF/F filter and the second 4-h sample was



**Fig. A5.** Comparison of simulated calculated ammonium uptake to simulated natural uptake rates. Calculated ammonium uptake rate is computed using  $\rho_0$  (**a**),  $\rho_{kan}$  (**b**),  $\rho_{reg}$  (**c**),  $\rho_{0,is}$  (**d**),  $\rho_{ken,is}$  (**e**),  $\rho_{reg,is}$  (**f**). *Y*-axis is the ratio of calculated uptake after 4-h simulated incubations to "actual" uptake computed in the 1D NEMURO + <sup>15</sup>N model. Colors represent different parameter sets and are identical to colors in Fig. A1.

spiked. This process was repeated every 4 h until 24 h had passed. At this point the final 4-h sample and the two or three 24-h samples were simultaneously removed and filtered. Isotope dilution could not be measured on the samples, because of the low nutrient concentration. Although the six 4-h incubations form a time series that is comparable to conditions experienced in the 24-h incubations, I cannot exclude the possibility that incubation artifacts varied between bottles, particularly when tracer additions were relatively large compared to ambient nutrient concentration. Samples were frozen at -80°C immediately after filtration. On land they were dried and analyzed for  $\delta^{15}$ N and PON at the UC Davis Stable Isotope Facility. This approach yielded a series of 4-h samples covering the same period of time as the 24-h samples. An unbiased equation for estimating nutrient uptake should thus generate identical estimates for nutrient uptake if calculated using the 24-h incubations or the sum of uptake calculated during the series of 4-h samples.

When computing  $\rho_{\text{Kan}}$  and  $\rho_{\text{reg}}$ , I assumed that a = 0 for NO<sub>3</sub><sup>-</sup> uptake in the CRD, because independent nitrification rate measurements showed that nitrification (i.e., recycling of NO<sub>3</sub><sup>-</sup>) was negligible (Buchwald et al. 2015). In the GoM, I assumed that a = 1 for NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> uptake, because a deep nutricline (>100 m) and very low euphotic zone nutrient concentrations suggested that upwelling could not support measured NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> uptake rates. The CRD data were

published in Stukel et al. (2016). The GoM data are derived from two NOAA-funded cruises in May 2017 and May 2018.

## Results of diel incubation experiments

To determine if these results derived from simulations were reflected in actual nutrient uptake incubations, I conducted a series of diel uptake experiments in the CRD and GoM. Experiments included 24-h incubations in combination with 4-h incubations throughout the same period for the same phytoplankton community incubated at identical conditions. Results showed a strong diel cycle in nitrate uptake in both the CRD and GoM (Fig. B1). Nitrate uptake was substantially greater during daylight hours. Results also showed relatively good agreement between nitrate uptake calculated from 24-h or from the series of 4-h incubations when using  $\rho_{reg.is}$ . Agreement was particularly good for the CRD samples (Fig. B1a-c)), when nutrient recycling and isotope dilution were minimal as a result of reasonably high nitrate concentrations and negligible euphotic zone nitrification (Buchwald et al. 2015; Stukel et al. 2016). Greater discrepancy was found in the GoM; estimates from 24-h incubations occasionally exceeded and occasionally were less than 4-h incubations.

Results were, however, strikingly different for ammonium uptake measurements in the GoM (Fig. B2). Four-hour incubation estimates showed no distinct diel periodicity and



**Fig. B1.** Diel nitrate uptake experiments computed using  $\rho_{reg.is}$  (nmol N L<sup>-1</sup> h<sup>-1</sup>). (**a**–**c**) are from CRD. (**d**–**j**) are from GoM.



**Fig. B2.** Diel ammonium uptake experiments from the GoM computed using  $\rho_{\text{req,is}}$  (nmol N L<sup>-1</sup> h<sup>-1</sup>). Symbols are same as Fig. B1.

consistently exceeded estimates from 24-h incubations by a factor of 4.3–6.2 when computed with  $\rho_{\text{reg}}$ . Comparisons between 4- and 24-h ammonium uptake rates computed with  $\rho_{\text{kan}}$  and  $\rho_0$  were slightly worse, with ratios ranging from 4.9–6.3 and 5.1–6.3, respectively. The uncertainty estimates also shrank substantially with  $\rho_0$ , yielding a false sense of certainty in the incorrect data.

When comparing across all measurements (ammonium uptake and nitrate uptake), the geometric mean of the ratio of 4-h nutrient uptake to 24-h nutrient uptake was 2.3 for  $\rho_0$  and 2.0 for both  $\rho_{\text{kan}}$  and  $\rho_{\text{reg}}$  (Fig. 3). Although all equations failed to deliver unbiased estimates for ammonium uptake in the GoM, nitrate uptake estimates agreed to within the errorbars for 9 out of 10 experiments with  $\rho_{\text{kan}}$  and  $\rho_{\text{reg}}$ , but only 8 out of 10 for  $\rho_0$  (using symmetric confidence limits, Fig. 3a–c).

The large mismatch between 4- and 24-h ammonium uptake measurements deserves greater investigation. Notably, when using more accurate asymmetric confidence limits (Fig. 3d–f),  $\rho_{\text{reg}}$  clearly outperforms  $\rho_0$  and  $\rho_{\text{kan}}$ , because the uncertainty limits for two of the data points reflect a large degree of uncertainty in the upper limit of nitrate uptake. The cause of the apparent discrepancy between mean estimates of ammonium uptake based on 4- or 24-h incubations is easily seen in the data.  $I_P(T)$  was similar after the 4- and 24-h incubations, suggesting that the isotopes had reached equilibrium in the particulate pool after only 4 h. However, this equilibrium value did not reach the asymptote predicted by Eq. 15 as would be expected based on the equations used to derive  $\rho_{\text{reg}}$ . Rather, it reached an equilibrium that reflected the presence of unlabeled nitrate (in addition to ammonium and particulate nitrogen). More specifically, the final value for  $I_P(T)$  was approximately equal to:

$$I_{\rm P}(t) = \frac{P(0) \times I_{\rm P}(0) + \rm NH_4(0) \times I_{\rm NH_4}(0) + \rm NO_3(0) \times I_{\rm NO_3}(t)}{I_{\rm P}(0) + \rm NH_4(0) + \rm NO_3(0)}$$

This suggests that when phytoplankton are deriving an essential element from two nutrient sources and substantial nutrient regeneration is occurring within the incubation experiments a modified equation may be necessary to account for these issues.

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#### **Conflict of Interest**

None declared.

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